

VOTING RESULTS | ANNUAL GENERAL MEETING OF LEONTEQ AG ON 23 MARCH 2017

Attendance

At the 2017 Annual General Meeting a total of 173 shareholders were present, representing 59.4% of the 15,944,504 issued and outstanding shares.

Attendance As on 09:54am	Votes
Shareholders present	4,910,534
Independent proxy (Proxy Voting Services GmbH)	4,562,426
Total	9,472,960

1.1 Approval of the Business & Financial Review, the Annual Financial Statements and the Consolidated Financial Statements for the financial year 2016

Agenda item 1.1 Final voting results	Votes	In %
Yes	9,257,568	97.70%
No	98,902	1.04%
Abstentions	118,715	1.26%

1.2 Advisory vote on the 2016 Compensation Report

Agenda item 1.2 Final voting results	Votes	In %
Yes	8,173,394	86.26%
No	1,157,316	12.21%
Abstentions	143,875	1.53%

2 Discharge of the members of the Board of Directors and of the Executive Committee

Agenda item 2 Final voting results	Votes	In %
Yes	7,958,660	96.03%
No	217,337	2.62%
Abstentions	110,930	1.35%

3.1 Allocation and Appropriation of the Retained Profits

Agenda item 3.1 Final voting results	Votes	In %
Yes	9,260,250	97.72%
No	128,366	1.35%
Abstentions	87,469	0.93%

3.2 Appropriation of Reserves from Capital Contributions

Agenda item 3.2 Final voting results	Votes	In %
Yes	9,317,955	98.33%
No	61,578	0.64%
Abstentions	96,552	1.03%

4.1 Creation of Authorized Capital

Agenda item 4.1 Final voting results	Votes	In %
Yes	9,195,862	97.04%
No	194,197	2.04%
Abstentions	85,776	0.92%

4.2 Nomination and Remuneration Committee

Agenda item 4.2 Final voting results	Votes	In %
Yes	9,219,698	97.29%
No	168,255	1.77%
Abstentions	87,882	0.94%

5.1 Re-election of the Members of the Board of Directors

Agenda item 5.1.1-5.1.7 Final voting results	Yes	In %	No	In %	Abstentions	In %
5.1.1 Re-election of Dr. Jörg Behrens	9,273,848	97.86%	80,888	0.85%	121,099	1.29%
5.1.2 Re-election of Mr. Vince Chandler	8,579,849	90.54%	783,272	8.26%	112,714	1.20%
5.1.3 Re-election of Mr. Patrick de Figueiredo	8,614,520	90.91%	742,208	7.83%	119,107	1.26%
5.1.4 Re-election of Dr. Patrik Gisel	8,489,015	89.58%	867,368	9.15%	119,452	1.27%
5.1.5 Re-election of Mr. Hans Isler	9,247,110	97.58%	119,848	1.26%	108,877	1.16%
5.1.6 Re-election of Mr. Lukas Ruffin	8,662,016	91.41%	699,250	7.37%	114,569	1.22%
5.1.7 Re-election of Dr. Pierin Vincenz	8,191,725	86.44%	1,151,286	12.14%	132,824	1.42%

5.2 Re-election of Dr. Pierin Vincenz as Chairman of the Board of Directors

Agenda item 5.2 Final voting results	Votes	In %
Yes	8,227,899	86.83%
No	1,148,799	12.12%
Abstentions	99,137	1.05%

5.3 Re-election of the Members of the Nomination and Remuneration Committee

Agenda item 5.3.1-5.3.3 Final voting results	Yes	In %	No	In %	Abstentions	In %
5.3.1 Re-election of Mr. Vince Chandler	8,510,304	89.81%	867,376	9.15%	98,155	1.04%
5.3.2 Re-election of Mr. Hans Isler	9,221,955	97.32%	155,170	1.63%	98,710	1.05%
5.3.3 Re-election of Mr. Lukas Ruffin	8,336,195	87.97%	1,021,067	10.77%	118,573	1.26%

6 Re-election of the Statutory Auditors

Agenda item 6 Final voting results	Votes	In %
Yes	9,270,395	97.83%
No	112,934	1.19%
Abstentions	92,506	0.98%

7 Re-election of the Independent Proxy

Agenda item 7 Final voting results	Votes	In %
Yes	9,354,005	98.71%
No	37,531	0.39%
Abstentions	84,169	0.90%

8.1 Binding Vote on the Total Compensation of the Members of the Board of Directors from the 2017 Annual General Meeting to the 2018 Annual General Meeting

Agenda item 8.1 Final voting results	Votes	In %
Yes	8,605,883	91.04%
No	703,588	7.44%
Abstentions	143,034	1.52%

8.2 Binding Vote on Total Compensation of the Members of the Executive Committee for the Business Year 2018

Agenda item 8.2 Final voting results	Votes	In %
Yes	8,380,567	88.67%
No	955,194	10.10%
Abstentions	114,639	1.23%